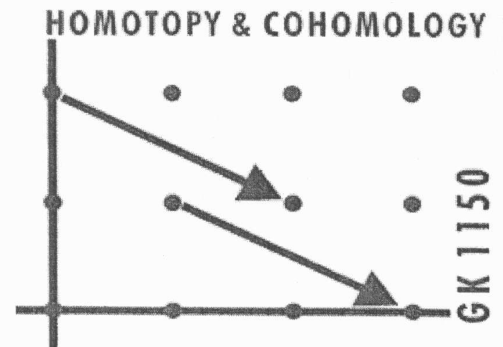


GRK 1150, Mathematisches Institut, Universität Bonn, 53115 Bonn



Winter School

“From Field Theories to Elliptic Objects”

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Schloss Mickeln, Düsseldorf

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Talk No. 13

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From Field Theories to Elliptic Objects?

- Plan:
- I Review: Field theories
 - II Outlook: Field theory objects

I. Review,

Euclidean ($d=1$) Field Theories.

- Definitions:
- Clifford-linear EFT's of degree n :
 $\underline{E\mathcal{B}'_n} \rightarrow \text{Hilb}$
 - SUSY Clifford-linear EFT's (degree 0):
 $\underline{SE\mathcal{B}'_0} \rightarrow \text{Hilb.}$
 - Spaces $\underline{E\mathcal{E}\mathcal{B}'_n}$ ($\underline{EFT_n}$) of susy (non-susy) Cliff.-linear EFT's in each degree n .

Results:

- $\underline{E\mathcal{E}\mathcal{B}'_n} \cong KO(-n). \quad (\star)$

$(EFT_n \cong *).$

- Partition function:

$\underline{Z_E: \pi_0 E\mathcal{E}\mathcal{B}'_n \rightarrow \mathbb{Z}} \quad (\star\star)$

$[E_G] \mapsto \text{sdim}_{\mathbb{C}_n}(\ker G)$

$n=4k: [E_{\mathcal{D}_M}] \mapsto \text{sdim}_{\mathbb{C}_n}(\ker \mathcal{D}_M)$

(susy standard ex.) $= \hat{A}(M) \in \mathbb{Z} \cong KO_n(\text{pt})$

Results: One would wish for analogous results as for EFT's, i.e.:

- $\boxed{\mathcal{EFT}_n \cong \text{TMF}(-n)} \quad (*)'$

- Partition function:

$$\boxed{\begin{array}{ccc} \mathbb{Z}_C: \pi_0 \mathcal{EFT}_n & \longrightarrow & \text{MF}_n := \mathbb{Z}[c_4, c_6, \Delta^{\pm 1}] \\ & \searrow \text{dashed} & \uparrow \\ & & \text{TMF}_n(\text{pt}) \quad (**)' \end{array}} \quad \left(\frac{c_4^3 - c_6^2 - 12\Delta^3}{4} \right)$$

Analogon of susy standard example for EFT's: \mathcal{Q}_M coming from string mfld M (Heuristically: " $H = L^2(LM, S_{LM})$ ", operators given via " \mathcal{D}_{LM} "), s.th.

$\boxed{\mathbb{Z}_C([\mathcal{Q}_M]) = \varphi_W(M)}$ Witten genus. $(**)'$

Remark: $(*)'$, $(**)'$: Open Problems.



$(**)'$: Definition of \mathbb{Z}_C into modular forms seems likely to work, however:

Need to define SUSY version of CFT's.

In part.: "susy conformal structure"

(analogous: "metric structure").

Conformal ($d=2$) Field Theories.

Definitions: - Clifford-linear CFT's of degree n :

Def.: \sim are functors $\mathcal{E}: \mathcal{CB}_n^{\mathbb{Z}} \rightarrow \text{Hilb}$, satisfying the usual axioms, where:

$\mathcal{CB}_n^{\mathbb{Z}}$: objects: Y : 1-dim.l closed conformal spin mfd w/ germ of (\mathbb{Z} -dim.l) conf. spin collars $\mathcal{U}(Y)$

$\mathcal{CB}_n^{\mathbb{Z}}(Y_1, Y_2)$: two types of morphisms:

(f, c) : $f: Y_1 \rightarrow Y_2$ conf. spin diffeom. extending over collars $c \in C(Y_1)^{-n}$.

(Σ', ψ) : (equiv. class of) Σ' : 2-dim.l conformal spin mfd w/ collar $\mathcal{U}(\Sigma')$, and bdry embeddings $\mathcal{U}(\bar{Y}_1) \sqcup \mathcal{U}(Y_2) \hookrightarrow \mathcal{U}(\Sigma')$, $\bar{Y}_1 \sqcup Y_2 \cong \partial \Sigma'$ conf. spin diffeo. $\psi \in \text{Falg}(\Sigma')^{-n}$.

(equiv. relation: conf. spin diffeo rel. Collared bdry, respecting ψ)

Composition: Glue conf. structures on overlapping collars.

Remark: (susy conf. structure) let $d|1$ smfld.

Then a susy conf. str. on $d|1$ should consist of two distributions \mathcal{V}, \mathcal{D} :

\mathcal{V} - a rank $(1|0)$ (loc. free) submodule of the \mathbb{O}_M -module $\text{Der}(\mathbb{O}_M) =: \mathbb{V}_M$,

\mathcal{D} - a rank $(0|1)$ submodule of \mathbb{V}_M ,

sth. $\{\mathcal{V}, \mathcal{D}, \mathcal{D}^2\}$ span \mathbb{V}_M .

Talk (15): Presents "reasonable" assumption on susy conformal str., using which one can prove that \mathbb{Z}_C returns elements in MF_* .

II. Outlook: Field Theory Objects and (elliptic) Euler class.

"Field theory object": This should be a field theory (EFT/CFT) \mathcal{E}_X over a space X , representing an element $[\mathcal{E}_X]$ in the corresponding cohomology $FT^*(X)$.

i.e. want direct construction of cohomology functors

$$X \mapsto FT^*(X) := \left\{ \begin{array}{l} \text{equiv. classes of FT-objects} \\ \text{over } X \end{array} \right\}.$$

In particular: $E^n \rightarrow X$ v. bundle (spin/string),
 want to construct E_E in $FT^n(X)$ (Euler class).

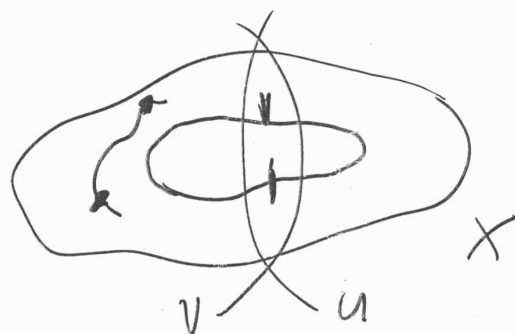
Note: Could use $FT^*(X) = [X, \mathcal{E}S_*]$, if we have
 description of spectrum $\mathcal{E}S_*$.

Def.: A Clifford-linear d -dim. l. FT-object of
 degree n over X is a functor
 $\mathcal{B}_n^d(X) \rightarrow \text{Hilb}$, satisfying the usual axioms.
 $\mathcal{B}_n^d(X)$ is \mathcal{B}_n^d where objects and mor.'s are
 equipped w/ piecew. smooth maps into X .

Remark: [Susy versions of $\mathcal{E}FT$'s over X ;
 Work in progress.

2-dim. l. CFT-object $\mathcal{E}: \mathcal{C}\mathcal{B}_n^2(X) \rightarrow \text{Hilb}$:
"pre-elliptic object".

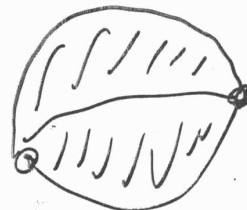
Why? Excision Problem:
 \mathcal{E} is not "local".



Stolz/Teichner Solution: "Enrichment"

\mathcal{E} needs to associate data to

- 0 - mfld
- 1 - mflds : ^{cpt.} open & closed
- 2 - mflds



Gluing: along arcs of bdr.

Def.: An enriched elliptic object \mathcal{E} of degree n over X is a bi-functor between bi-categories $\mathcal{D}_n(X) \rightarrow \mathbf{vN}$, satisfying certain axioms.

$\mathcal{D}_n(\ast)$: obj: • 0-dim spin mflds w/ 2-dim conf. collars & orient



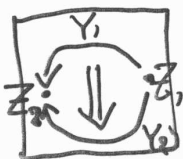
1-mor: • 1-dim. l conf. spin mflds w/ collar and bdr embeddings (collared)



• conf. spin diffeos of collared 0-mfld



2-mor: • conf. spin diffeom. of (collared) 1-mflds, together w/ $c \in C(Y)^{-1}$



• (equiv. classes) 2-dim. l conformal spin mflds Σ^2 (w/ collars), bdr embeddings etc. as before, together w/ $\psi \in F(\Sigma^2)^{-n}$.

Compositions: 1-mor.: $\leftarrow \leftarrow$

2-mor.: vertical:



horizontal:



vN: bi-category of von Neumann algebras, bimodules, and intertwiners.

Talk (14)!

Objects: \simeq weak op. closure of
*-subalgebras of $Bdd(H)$.

Bimodules: Hilbert spaces

Product: Connes fusion

Intertwiners: Bdd operators.

Note: There is a problem w/ adjunction on vN.

$$vN(\mathbb{C}, \mathbb{F}_2 \boxtimes_{A \mathbb{F}_1}) \rightarrow vN(\bar{\mathbb{F}}_2, \mathbb{F}_1).$$

Use l.h.s. for FT-objects.

EFT - Euler class.

Recall: $\mathbb{E} : \Omega_{\text{spin}} \rightarrow KO$

$$[M_{\text{spin}}] \rightarrow [E_M] \in KO(M).$$

Let in general $E^n \rightarrow X$ be n -dim. l. v. bundle (w/ Riem. metric) with spin structure $S(E)$.

$S(E)$: bundle of irred. graded bimodules over Clifford-alg. bundle $C(E) = C_n$. $S(E_x) := S_x$

Def.: For $E \rightarrow X$ as above, X mfd, E w/ metric connection, a spin connection S on E assigns to each pw. sm. path

$\gamma : I \rightarrow X$ an isomorphism

$$\begin{aligned} \gamma(0) &= x_1 \\ \gamma(1) &= x_2 \end{aligned}$$

$$S(\gamma) : F(\gamma) \xrightarrow{\cong} \text{Hom}_{\mathbb{R}}(S(x_1), S(x_2))$$

of left $C(\partial\gamma) = C(x_1) \otimes C(x_2)$ modules.

technical assumptions: cont. dep. of $S(\gamma)$ on γ
parametr. invar. of $S(\gamma)$ wrt ρ
gluing condition:

$$S(\rho_2 \cup_{x_2} \rho_1) = S(\rho_2) \otimes S(\rho_1).$$

$$F(\gamma) := F(\gamma^*E) \otimes F(I)^{-n}$$

$$C(x) = C(E_x) \otimes C_{-n}.$$

Remark: $S(y)(-\Omega_y \otimes \text{id}): S(x_1) \rightarrow S(x_2)$
 defines parallel transport in $S(E)$.

$(-\Omega_y \in F(y^*E))$ vacuum: exist for any
 v. bundle E w/ metric and connection.)

Def.: The EFT - Euler class associated to $E \rightarrow X$
 as above w/ spin str. given by $S(E)$ and
 spin connection S , is the functor
 $\mathbb{E}_E: \mathcal{E}\mathcal{B}_n(X) \rightarrow \text{Hilb}$ defined by

0-dim: $\mathbb{E}_E(x: \text{pt} \rightarrow X) := S(x)$.

1-dim: $\mathbb{E}_E(y: I \rightarrow X, \psi) := S(y)(-\Omega_y \otimes \psi): S(x_1) \rightarrow S(x_2)$
 $\prod_{\text{Fals}(\mathbb{I})}^{-n}$

Concept: 0-dim. l data for Euler class:

From (top) spin structure \rightsquigarrow $\begin{matrix} H \\ \downarrow \\ X \end{matrix}$

1-dim. l data for Euler class:

From spin connection.

Generalize: 0-dim. l data for elliptic Euler class:

From (top) string structure \rightsquigarrow $\begin{matrix} A \\ \downarrow \\ X \end{matrix}$

1, 2-dim. l data for elliptic Euler class:

From "string connection".

Elliptic Euler class.

$$(\Omega_{\text{String}}^* \rightarrow \text{tmf})$$

Problem: As opposed to Spin case, for string bundles one does not inherit a connection from connection on E .

\Rightarrow A string connection imitates data associated by spin connection in $d=1$ case, but without the geometric interpretation.

Two conceptual steps:

1. Obtain bundle of vN algs $A \rightarrow X$ from String structure.

This is possible even in a more gen. framework.

Thm: Let G cpt, simply conn. Lie grp., $\ell \in H^4(BG)$ a level. Then one can associate a canonical vN alg. $A_{G,\ell}$ which is hyperfinite of type III₁.

There is an extension of top. grps

$$1 \rightarrow \text{PU}(A_{G,\ell}) \xrightarrow{i} G_\ell \rightarrow G \rightarrow 1$$

and a monomorphism

$$\Phi: G_\ell \hookrightarrow \text{Aut}(A_{G,\ell}), \text{ s.th.}$$

$$\left\{ \begin{array}{l} \bullet \pi_3 G \rightarrow \pi_2 \text{PU}(A) \cong \mathbb{Z} \\ \text{is given by } \ell \in H^4(BG) \\ \cong \text{Hom}(\pi_3 G, \mathbb{Z}) \\ \bullet \Phi \circ i \text{ is incl. of } \text{Im}(A) \end{array} \right.$$

Cor.: A G_ℓ -structure on a principal G -bundle $E \rightarrow X$ gives a bundle of vN algs $A \rightarrow X$.

$$G_\ell \rightarrow E_\ell \xrightarrow{\cong} E_\ell \times_{G_\ell} (A_{G,\ell})$$

$$\downarrow \quad \quad \quad \downarrow$$

$$X \quad \quad \quad X$$

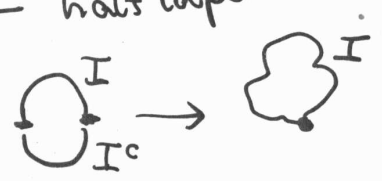
In particular: $G = \text{Spin}(n)$, $\ell = P_{1/2} \in H^4(B\text{Spin})$
 $\Rightarrow G_\ell = \text{String}(n)$.

Sketch of construction:

$$\begin{array}{ccccccc}
 & & (\text{contr.}) & & (K(\mathbb{Z}, 2)) & & \ell \in H^4(BG) \\
 & & \uparrow & & \uparrow & & \parallel \\
 1 & \rightarrow & \mathfrak{G}' & \rightarrow & \text{U}(H) & \rightarrow & \text{PU}(H) \rightarrow 1 \\
 & & \uparrow & & \uparrow \tilde{\ell} & & \uparrow \ell \\
 & & \mathfrak{G}' & \rightarrow & L\tilde{G} & \rightarrow & LG \leftarrow \text{free loop space} \\
 & & & & \uparrow & & \uparrow \text{(pw. sm. loops)} \\
 & & & & L_I \tilde{G} & \rightarrow & L_I G \leftarrow \text{"half loops"}
 \end{array}$$

Define:

$$A_{G,\ell} = \tilde{\rho}(\tilde{L}_I \tilde{G}) \subset \text{Bald}(H)$$



$$G_\ell = (\text{PU}(A_{G,\ell}) \times_{\mathbb{Z}} P_{\mathbb{Z}}^I G) / r(L_I G)$$

String(n)-model.

s.th. for $\phi: (\Sigma, \Gamma) \rightarrow (\Sigma', \Gamma')$ conf. spin
diffs

$$\begin{array}{ccc} F(\Gamma) & \xrightarrow{S(\Gamma)} & S(\gamma) \\ F(\phi) \downarrow & \cong & \downarrow S(\phi|_{\partial\gamma}) \\ F(\Gamma') & \xrightarrow{S(\Gamma')} & S(\gamma') \end{array}$$

and gluing/continuity conditions.

Then, roughly (!), the "elliptic Euler class" assoc. to $E \rightarrow X$ as before w/ vN alg. bundle $A \rightarrow X$ given by string structure and string connection S on E is the functor $\underline{\text{Ell}}_E: \mathcal{D}_n(X) \rightarrow \text{vN}$ defined by:

0-dim: $\text{Ell}_E(X) := S(X) = \overline{\otimes} A(X_i)$
 $C(Y) = \underline{C(Y^*E)} \otimes C(\Gamma)^{-n}$

1-dim: $\text{Ell}_E(\gamma) := S(\gamma)$ - as $C(Y)^{-n} - S(\partial\gamma)$ -
bimodule (reducible!)

2-dim: $\text{Ell}_E(\Gamma, \psi) := S(\Gamma)(\Omega_P \otimes \psi) \in S(\gamma) = \text{Ell}_E(\gamma)$.

Recall: $F(\Gamma) = F(\Gamma^*E) \otimes F(\Sigma)^{-n}$

$\psi \in F_{\text{alg}}(\Sigma')^{-n}, \Omega_P \in F(\Gamma^*E)$.

Get: $\text{Ell}_E(\Gamma, \psi): \text{Ell}_E(\phi) \rightarrow \text{Ell}_E(\gamma)$



$$\begin{array}{ccc} S(\phi) & & S(\gamma) \\ \parallel & & \parallel \\ \mathbb{C}: \mathbb{1} & \mapsto & S(\Gamma)(\Omega_\Gamma \otimes \psi). \end{array}$$

Results: Thm 5.0.2: $A \rightarrow X$ can be defined
(proof).

It seems to be possible to obtain graded
VN alg's as well. (no proof).

Thm. 5.3.5: Claims existence of
string connections.
(no proof.)

Person to ask: A. Wassermann.

Thm. 5.3.6: Describes the data
of a string connection
in geometric terms
using "trivialization
of extended Chern-
Simons theory CS_E ".
(no proof).

Papers to read: D. Freed, Classical
Chern-Simons theory, Part I (& II?)
A. Wassermann; Operator algebras and
Conformal field theory (I-III?).